



Evaluation of Specific Risk Factors and Outcomes of Colistin-Resistant *Klebsiella pneumoniae* Infections in a Tertiary Care Centre - An Observational Study

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Abstract

Background: Incidence of multidrug resistant *Klebsiella pneumoniae* infections are increasing globally especially in ICUs.

Aim: We evaluated the burden of colistin resistant *K. pneumoniae* (ColR KP) and the risk factors associated with the outcome of these patients.

Methods: Consecutive patients developing *K. pneumoniae* infections were included. *K. pneumoniae* from endotracheal tube and catheterized urine sample, having cell count $<10^5$ cfu/ml, and which did not necessitate a change in antibiotics as per the treating physicians was considered as colonizer. Demographic and clinical details were collected and samples were processed as per standard protocol. Any growth was identified and its antimicrobial susceptibility was carried out by using Vitek 2 automated system. Minimum inhibitory concentration of >4 $\mu\text{g/ml}$ for Colistin was considered as resistant. The resistant isolates were confirmed with Broth microdilution method. Risk factor associated with the outcome of ColR KP was analyzed.

Findings: Burden of *K. pneumoniae* infection was 50.02 per 1000 admissions. *K. pneumoniae* (n=155) was isolated from patients with ventilator associated pneumonia (84, 54.2%), followed by blood stream infection (49, 31.6%) and urinary tract infection (22, 14.2%). ColR KP and intermediate (ColI KP) isolates were 58 (37.41%) and 97 (62.6%) respectively. Among ColR KP infected patients 32 (55.1%) died whereas 26 (44.8%) patients were discharged. Higher mortality was witnessed in ColI KP cases (75, 77.3%) compared to ColR-KP cases (32, 55.1%) (p=0.004; OR=2.77; 95% CI=1.37 to 5.59). Colistin resistance and presence of central line were independently associated with mortality.

Conclusion: Colistin resistant *K. pneumoniae* infections among ICU patients are on rise. Presence of central venous catheter and resistance to colistin were independent predictors of mortality.

Keywords: Colistin Resistant *Klebsiella*; Carbapenem resistance; Risk factors; Multidrug resistance

Abbreviations

ColR KP: Colistin Resistant *Klebsiella Pneumoniae*; ColI KP: Colistin Intermediate *Klebsiella Pneumoniae*; ICU: Intensive Care Unit; KPC: *Klebsiella Pneumoniae* Carbapenamase; CFU: Colony Forming Unit

Introduction

The incidence of Carbapenem-resistant *K. pneumoniae* first reported in 1993 [1], has continued to increase globally, with such infections becoming a threat to ICU patients because of their high mortality rate and limited treatment options available [2,3]. This has led to increase use of tigecycline and colistin as the last resort treatment for infections caused by Multidrug-Resistant (MDR) *K. pneumoniae*.

Carbapenem resistance is mostly due to serine-based betalactamases which are Class A Carbapenamases β -lac first identified in the US in 1996 [4]. In Greece, plasmids and integrons encoding VIM-1 MBL have spread widely among *K. pneumoniae*, with 42% of bloodstream isolates now carrying these enzymes or *K. Pneumoniae* Carbapenamase (KPC) types [5]. In India Class B (Metallo-Beta-Lactamases, MBL) and Class D 9 (Oxacillinase) are enzymes responsible for

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contributing to multidrug resistance [6].

Colistin-resistant *K. pneumoniae* (ColR-KP) rate in ICUs across Europe and US is 8.2% and 2.7% respectively [7]. Few European countries such as Romania, Greece and Italy have reported higher incidences of 25.8%, 19.9% and 15.4% ColR-KP infections respectively [8]. In India there is a paucity of systematic data on ColR-KP but corroborative findings suggest that these resistant strains are widely prevalent in Indian ICUs [9]. A case series from South Indian hospital reported ColR-KP infections in 33% (6/18) cases, and gram negative bacterial isolates resistant to both carbapenems and Colistin in 30% (27/89) cases [10]. Therefore, the present study was planned to study the prevalence of ColR-KP in our ICU and to understand the potential risk factors that determine the outcome of patients infected with ColR-KP.

Materials and Methods

Study design, setting, and patients

Study design: This study is a prospective observational study.

Setting: The study was carried out in a 46 bedded multi-specialty Intensive Care Unit (ICU) in a tertiary care hospital which receives patients from a large part of North India as well as North Eastern states. Patients admitted in the ICU during a period of one year (April 1st, 2017 to March 31st, 2018) from whom *K. pneumoniae* was isolated from either blood, respiratory or urine sample were included in the study. Bacterial count exceeding $>10^5$ Colony Forming Units (CFU/ml) in the endotracheal aspirate and catheterized urine sample with >10 pus cells/ml were considered as pathogens. If the colony count was $<10^5$ (CFU)/ml and not accompanied by a change in antibiotics, the isolates were labeled as colonizers. Each patient was included in the study only once, at the time of the first isolation. A ColR-KP Blood Stream Infection (BSI) was defined as the presence of at least one ColR-KP – positive culture with concomitant signs of organ dysfunction. The case was defined as healthcare-associated or hospital-acquired infection as per standard definitions [11].

A case: control design was used to study risk factors for ColR-KP infection. The study was approved by the Institute Ethics committee and an informed consent was taken from the next of kin.

Data collection: A predesigned case record form was used to save the demographical (age and sex) and clinical data of each patient. The clinical data consisted of specialty (medical or surgical), date of admission, co-morbidity (diabetes mellitus, steroid intake, transplant), use of medical devices (ventilator, central venous catheter, urinary catheter), APACHE score, date of detecting first *K. pneumoniae* infection, type of *K. pneumoniae* infection (Catheter-Related Bloodstream Infection (CRBSI), Ventilator-Associated Pneumonia (VAP), Catheter-Associated Urinary Tract Infection (CAUTI)).

The identification of the isolates and antimicrobial susceptibility testing was done by compact automated system (VITEK 2, BioMerieux, France) and interpreted according to Clinical and Laboratory Standard Institute (CLSI) guidelines 2015. Colistin resistance was defined as Minimum Inhibitory Concentration (MIC) of >4 $\mu\text{g/ml}$ [7,12]. The resistant isolates were tested again by Broth microdilution. Antimicrobial therapy given and the final outcome of the patient (ICU discharge or death) were also recorded.

CRBSI, VAP and CAUTI were defined in accordance with the CDC/NHSN definitions [13]. APACHE II score was noted among

these patients. In patients who were prescribed colistin, it was administered as a continuous infusion over a three-hour period which is the protocol in the ICU. Diagnostic and therapeutic management for all patients was not standardized and decisions were made at the discretion of the attending physician.

Data evaluation: All details from the case record forms were entered into Microsoft excel spreadsheet. The continuous variables were described as mean \pm SD in accordance with standard formulae. Categorical variables were expressed as percentages which includes susceptibility to colistin and final outcome. Student t-test or Mann Whitney-U test were applied to evaluate continuous variables. Chi-square test or Fisher's exact test was applied to test the association between categorical variables. Odds Ratio (OR) and 95% Confidence Interval (CI) was calculated and a p value of <0.05 was considered as statistically significant. Variables with a p value of <0.05 were taken up for multivariate analysis to identify independent predictors of clinical outcome. All the statistical analysis was carried out using Jamovi Software version 1.6.14.

Risk factors for acquiring ColR KP infection was assessed by comparing a total of 58 patients with ColR KP to two groups: Colistin-intermediate ColI-KP cases (n=97, control A) and patients classified as colonizers (n=77, control B). The discrimination ability of the models was assessed by estimating the area under the receiver operating characteristic (ROC) curve. Calibration of the models was assessed using the Hosmer-Lemeshow test for goodness of fit.

Results

Demographical and clinical profile of the patients (Table 1).

A total of 3,099 patients were admitted to the ICU during the study period out of which 155 patients developed *K. pneumoniae* infection amounting to a burden of 50.02 *K. pneumoniae* infections/1000 admissions. Median APACHE-II score at the time of admission was 19 (range 8-43). VAP was the most common ICU-acquired infection (84, 54.2%), followed by CRBSI (49, 31.6%) and CAUTI in (22, 14.2%).

Susceptibility profile of *K. pneumoniae* isolates

Among 155 *K. pneumoniae* infections, colistin resistant and intermediate isolates were 58 (37.41%) and 97 (62.6%) respectively. Remaining 77 (33.2%) isolates were deemed as colonizers. Among these colonizers, 46 (59.7%) were intermediate to colistin whereas remaining 31 (40.3%) were resistant. Colistin was administered to 64/232 (27.5%) patients initially as pre-emptive therapy. Later 34 (14.65%) patients were additionally put on colistin after first antibiotic change. The decision to change antibiotics was either a clinical decision pending culture reports or based upon the culture sensitivity report. Thus a total of 98 (42.2%) patients received colistin during their hospital stay. Among colistin resistant *K. pneumoniae* infected patients 32/58 (55.1%) patients died whereas 26/58 (44.8%) patients were alive to discharge. Only 17 patients yielding colistin resistant *K. pneumoniae* were administered with colistin as initial therapy. Later, in 12 of these 17 patients colistin was discontinued (except in 5 patients in whom colistin was continued at a higher dose as per the physician's discretion).

Patient outcome and predictors of mortality

The demographic details and other parameters of the entire cohort of 232 patients are given in Table 1. Demographical factors like age and male preponderance did not have any bearing on the final

Table 1: Demographic and clinical characteristics of all the patients enrolled in the study.

Characteristics	Observation (n=232)
Demographics	
Age (years ± SD)	56.5 ± 16.6
Males (%)	164 (70.7)
Risk factors (%)	
Diabetes mellitus	100 (43.1)
Steroid therapy	40 (17.2)
Organ transplant	15 (6.5)
Procedural interventions (%)	
Endotracheal intubation	193 (83.2)
Central line insertion	212 (91.4)
Urinary bladder catheterization	224 (96.6)
Klebsiella Infections (%)	
CAUTI	22 (14.2)
CRBSI	49 (31.6)
VAP	84 (54.2)
APACHE-II score	
Median ± SD	19.4 ± 5.6
Range	8 to 43
Duration of hospitalization (days)	
Median (Range)	21(2 to 117 days)
Colistin sensitivity of all isolates (%)	
Intermediate	133 (57.3)
Resistant	99 (42.7)

SD: Standard Deviation; IQR: Interquartile Range; CAUTI: Catheter Associated Urinary Tract Infection; CRBSI: Catheter Related Blood Stream Infection; VAP: Ventilator Associated Pneumonia; APACHE: Acute Physiology and Chronic Health Evaluation

outcome and were comparable among survivors and non survivors. Overall, 107 (69.03%) *K. pneumoniae* infected patients succumbed and 48 (30.0%) were discharged from the ICU. Median length of

hospital stay was 24 days for patients who survived and 20 days for patients who died (p=0.025).

To assess risk factors for ColR KP (n=58) cases were compared to two control populations. The first control group (control A, n=97) had patients with *K. pneumoniae* infections which are intermediate to colistin. Whereas, the second control group harbored *K. pneumoniae* as colonizers (control group B, n=77). Table 2 & 3 depicts results of univariate analysis. In the first comparison among ColR-KP and ColI-KP revealed higher mortality in ColI KP cases (75, 77.3%) compared to ColR-KP cases (32, 55.1%) (p=0.004; OR=2.77; 95% CI=1.37-5.59). Among patients with diabetes mellitus the proportion was higher of ColR-KP (50.0%) compared to ColI-KP (34.0%). In the second comparison, ColR-KP versus colonizers, no significant difference was witnessed between ColR-KP and colonizers, but risk factors like transplant patients (p=0.029; OR=0.194; 95% CI=0.038 to 0.97), patients on steroids (p=0.029; OR=0.029; 95% CI=0.114 to 0.924) were significantly associated with ColR-KP infection. In the multivariate analysis, Colistin resistance and presence of central line were independently associated with mortality (Table 4).

Discussion

Studies evaluating the specific risk factors and clinical outcomes of patients infected with colistin-resistant *K. pneumoniae* in Indian ICU are scarce [12,14]. Globally, there are only a handful of studies addressing similar concern. In this study, we report 38.3% of patients were infected and colonized with colistin resistant *K. pneumoniae* among ICU patients (25% and 13.3% respectively). In a previous study, active surveillance in an ICU revealed that 24.4% were colonized in the gastrointestinal tract by colistin-resistant KPC-producing *K. pneumoniae* [15]. In contrast, we found 40.2% of our patients were colonized with ColR-KP. This high colonization rate may explain the higher incidence of ColR-KP infection observed in the present study. Here we report only 37.4% of the *K. pneumoniae* infections which were resistant to Colistin. This is similar to studies from Greece [16],

Table 2: Comparison of various parameters of patients infected with colistin resistant and colistin intermediate *Klebsiella pneumoniae*.

Variables	Colistin resistant (n=58)	Colistin intermediate (n=97)	P value	Odds ratio
Age (Mean ± SD)	58.6 ± 17.3	55.1 ± 16.4	0.203	
Male	45 (77.5%)	69 (71.2%)	0.378	1.40 (0.695 to 3.00)
Female	13 (22.5%)	28 (28.8%)		
APACHE (Mean ±SD)	18.5 ± 4.36	20 ± 6.02	0.099	
Total hospital days (Mean ± SD)	31.9 ± 29.2	31.2 ± 30.3	0.873	
Speciality				
Medical	41 (70.6%)	62 (63.9%)	0.38	1.36 (0.675 to 2.74)
Surgical	17 (29.4%)	35 (36.1%)		
Risk Factors				
Transplant	7 (12.0%)	6 (6.1%)	0.201	2.08 (0.664 to 6.53)
Diabetes Mellitus	29 (50.0%)	33 (34.0%)	0.049*	1.94 (0.998 to 3.77)
Steroids therapy	12 (20.6%)	22 (22.6%)	0.772	0.889 (0.402 to 1.97)
Endotracheal Intubation	44 (75.8%)	86 (88.6%)	0.036*	0.402 (0.169 to 0.959)
Central line	48 (82.7%)	92 (94.8%)	0.014*	0.261 (0.084 to 0.807)
Urinary catheter	54 (93.1%)	96 (98.9%)	0.045*	0.141 (0.015 to 1.29)
Blood culture positivity	19 (32.7%)	30 (30.9%)	0.879	1.06 (0.525 to 2.12)
Respiratory culture positivity	31 (53.4%)	53 (54.6%)	0.778	0.91 (0.472 to 1.75)
Urine culture positivity	8 (13.7%)	14 (14.4%)	0.801	0.886 (0.346 to 2.27)
Outcome				
Survivors	26 (44.8%)	22 (22.6%)	0.004*	2.77 (1.37 to 5.59)
Non Survivors	32 (55.1%)	75 (77.3%)		

Table 3: Comparison of various risk factors among patients with ColR-KP and colonizers.

	Colistin resistant KP (n=58)	Colonizer KP (n=77)	P value	Odds ratio (95% CI)
Age (Mean ± SD)	58.6 ± 17.3	56.6 ± 16.4	0.501	
Male	45 (77.5%)	50 (64.9%)	0.111	0.535 (0.247 to 1.16)
Female	13 (22.5%)	27 (35.1%)		
APACHE (Mean ± SD)	18.5 ± 4.36	19.4 ± 5.78	0.327	
Total hospital days (Mean ± SD)	31.9 ± 29.2	25.3 ± 18.1	0.107	
Speciality				
Medical	41 (70.6%)	57 (74.0%)	0.667	1.18 (0.552 to 2.53)
Surgical	17 (29.4%)	20 (26.0%)		
Transplant	7 (12.0%)	2 (2.6%)	0.029*	0.194 (0.038to 0.97)
Diabetes	29 (50.0%)	38 (49.4%)	0.94	0.974 (0.493 to 1.93)
Steroids	12 (20.6%)	6 (7.8%)	0.029*	0.029 (0.114 to 0.924)
ET tube	44 (75.8%)	57 (74.0%)	0.808	0.907 (0.412 to 1.99)
Central line	48 (82.7%)	72 (93.5%)	0.049*	3.0 (0.965 to 9.32)
Urinary catheter	54 (93.1%)	74 (96.1%)	0.436	1.83 (0.393 to 8.50)
Outcome				
Discharged	26 (44.8%)	31 (40.3%)	0.595	0.829 (0.416 to 1.65)
Expired	32 (55.1%)	46 (59.7%)		

*Statistically significant

Table 4: Multivariate analysis to evaluate the outcome among resistant and sensitive group Model =AUC: 73.2%, Accuracy =77.4%, R²=0.162, p=0.021.

Risk factors	OR (95% CI)	P Value
Central line	0.043 (0.006-0.278)	<0.001
Resistant to colistin	2.34 (1.065-5.16)	0.034

and Italy [12]. Where they have reported the incidence of ColR-KP infection at 46.4% and 33.3% respectively. However, contemporary Indian studies have reported colistin resistance in *Klebsiella* ranging from 4% [17], to 5.6% [8], but the relative number of cases was very less.

Unlike previous study, high mortality was witnessed among patients infected with ColI-KP compared to ColR-KP (77.3% against 55.1%) [12]. In literature, studies have reported higher mortality among patients infected with ColR-KP and colistin resistance has been shown to be an independent predictor of mortality [8,12,18]. Maybe the increased use of this drug in recent years, especially as monotherapy, could be the reason for increased mortality. Again resistance to colistin could be simply a marker of the patient's severity as shown in infections caused by other resistant microorganisms such as *Pseudomonas aeruginosa* [19]. However, physicians should be aware of such an occurrence for its implications on treatment and outcome.

In our study, majority (58.6%) of the patients infected with ColR-KP did not receive colistin. Intra ICU transmission of ColR-KP to susceptible patients cannot be ruled out. However, these results indicate that even though colistin administration plays an important role in the development of resistance in *K. pneumoniae* it may not be the only risk factor. Health care workers may also play a pivotal role in transmission of resistant isolates to non-colonized patients [20].

The 30-day mortality among patients with colistin-resistant KP was 55.1% which is considerably higher compared to recent studies which have reported rates ranging from 30.8% to 51% [12,21]. A

Taiwan study on the other hand reported a mortality of 71% [22]. Again, the mortality among ColR-KP infections was significantly lower (55.1.9%) than ColI-KP infections (77.3%) in our ICU. However, the mortality rate among colistin sensitive *K. pneumoniae* infected patients is lower (39.4%) in a study from Italy [12].

Among the demographical factors, age of the patient did not have any bearing on the overall outcome in the present study. This was in accordance with prior studies wherein age of the patient did not vary with colistin susceptibility or overall outcome [16,23]. Ritcher et al. [23] however, reported higher age to be significantly associated with ColR-KP infections as compared to ColI-KP, though they have not compared it with the final clinical outcome of the patients. This age-related difference in outcome among ColR-KP as compared to Carbapenem-Resistant KP could be an interesting topic of further research. A 70.7% male preponderance was noticed in the present study but male gender did not predispose to poor outcome. Male preponderance has been suggested by nearly all prior studies but no statistically significant gender predisposition could be attributed to the clinical outcome [10,16,23-25].

The mortality from *K. pneumoniae* infection in our ICU was 69%. This was higher (43.4%) compared to a multi-centric Italian ICU study [26]. The crude mortality associated with carbapenem-resistant *K. pneumoniae* infection ranges from 20% to 70%, being as high as 45% following bloodstream infection and even higher when secondary to pulmonary source [27-29]. The prevalence of 81% carbapenem-resistant isolates and 54.2% VAP infections could have contributed to higher mortality in our ICU. While Giacobbe et al. [12], have reported higher mortality in ColR-KP (51.4%) compared to ColI-KP (39.4%) (p=0.02) infections. Zarkotou et al. [28] have shown no significant difference in survival among the two groups (p=0.53). Interestingly, the mortality incidence among ColR-KP infections was significantly lower (55.1.9%) than ColI-KP infections (77.3%) in our ICU. These observations lead us to ponder over the following points: Firstly, several researchers have noticed that colistin administration was

associated with the emergence of ColR-KP [10,16,28], underscoring the absolute need of avoiding unnecessary colistin use in clinical practice. It is possible that our patients who were administered colistin based on the initial susceptibility report progressed to eventually develop ColR-KP strains. Secondly, the reduced survival in ColR-KP infection could be attributable to inadequate empiric treatment options left for such strains. This signifies the horizontal transfer of ColR-KP of colonized patient to another non-colonized patient *via* medical and nursing personnel [16]. Hence, strict adherence to infection prevention and control practices, especially the component of hand hygiene, should be emphasized in ICU.

The study has certain limitations such as unmeasured factors like previous colistin therapy, its duration, dosage and regimen (whether monotherapy or combination) could have contributed to the emergence of ColR-KP in our ICU. No molecular analysis was carried out to rule out inter-patient spread by colistin resistant strains within ICU, as it was beyond the scope of this study. Further, we did not evaluate the differences in mortality, if any, in different drug combination schemes were being used (colistin monotherapy *vs.* colistin + carbapenem *vs.* colistin + aminoglycoside, etc). Few earlier studies [16,28] have already addressed these issues and there are still an unresolved debate pertain over benefits of monotherapy *vs.* combination therapy with Colistin [30]. Another possible limitation is that colistin susceptibility was performed by automated system (Vitek) and by broth microdilution technique only for confirmation in the resistant cases. However, recent study indicated lower error rate with automated system (vitek) compared to other techniques used for colistin susceptibility where the results of Vitek were comparable to broth microdilution using glass-coated plates [31].

Conclusion

Colistin resistant *K. pneumoniae* infections as well as colonization among ICU patients are on rise. Diabetic patients were more frequently infected with colistin resistant *K. pneumoniae*. Patients with extraneous devices were more consistently infected with colistin intermediate *K. pneumoniae*. Presence of central venous catheter and resistance to colistin were independent predictors of mortality. Hence, with a very high rate of ColR-KP isolates in Indian ICUs, there is an urgent need to identify potential risk factors predisposing to infections due to MDR *K. pneumoniae*, and implementation of infection control practices. In the absence of new antimicrobial agents for the treatment of healthcare associated infections due to MDR strains, the implementation of proper prevention strategies and adequate staffing is essential to control their spread. Applying routine use of molecular analyses help in control of outbreaks as well as accurate detection of mutations conferring resistance.

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