Factors Associated with Death and Limitation of Life-Sustaining Therapies in Patients with Traumatic Brain Injury

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Abstract

Aim of the Study: A substantial proportion of deaths of patients in the Intensive Care Unit (ICU) follow a decision to limit life-sustaining therapies. Patients with moderate to severe Traumatic Brain Injury (TBI) differ from the general ICU population: They are usually younger, previously healthy, and often with no advance directives. The objective of this study was to identify factors associated with mortality and limitation of life-sustaining therapies in patients with moderate to severe traumatic brain injury in a Swiss academic tertiary care hospital.

Methods: This study was a retrospective single center analysis of 170 non-elective admissions to the surgical ICU of a Swiss academic tertiary care hospital over a three-year period. Patients were eligible for the study if diagnosed with moderate to severe blunt TBI, and if the ICU length of stay was at least 48 hrs. Factors associated with mortality were investigated.

Results: Mean age was 48 ± 21 years, 72.3% were male, and pre-existing medical conditions were overall rare. Forty-five patients (26.5%) died within 6 months after TBI (Non-survivors group). Most deaths (n=43, 95.5%) occurred after limitation of life-sustaining therapies. In the multiple binary logistic regression model age, Protestant religion, hypoxemia during the rescue phase, a higher category in the Marshall classification and a higher Injury Severity Score were independently associated with death.

Conclusion: At our institution, most deaths of patients with moderate to severe TBI occurred after a deliberate decision to limit life-sustaining therapies. This decision was associated with age, spiritual belief of the patient, hypoxemia in the pre-hospital setting, radiological findings, and severity scores. Written advance directives should be encouraged to help surrogate decision makers and physicians in the acute and sudden setting of TBI to respect the patient’s will.

Keywords: Traumatic brain injury; Mortality; Intensive care; Life-sustaining therapies; Withdrawal

Introduction

Despite large regional and cultural variations, a large proportion of deaths in the Intensive Care Unit (ICU) occur after a deliberate process of limiting life-sustaining therapies [1-3]. Several determinants to the decision have been identified, including patient characteristics, disease process, therapeutic interventions, level of the acute care center- due to variation in physicians’ perceptions
of long-term prognosis and physicians’ practice patterns for recommending limitation of life-sustaining therapies-, and Surrogate Decision-Makers (SDM) [2-5].

Traumatic Brain Injury (TBI) is the consequence of an acute and sudden event and represents the leading cause of death and disability in young adults [6]. Patients with moderate to severe TBI differ from the general ICU population. They are generally younger, previously healthy, and in most cases have not discussed or written down Advance Directives (AD) addressing their actual situation [7]. Furthermore, patients with TBI often in the acute phase do not have the possibility to communicate their preferences allowing to base therapeutic options on his or her presumed will. Moreover, SDM might be overwhelmed by the situation and might not serve as surrogate since they never discussed the situation with the patient before the accident. In addition, the existing prognostic models are helpful, but not designed to aid decision making on individual patient level [8-10].

In the past decades, an increasing trend of limitation of life-sustaining therapies has been observed in TBI patients in a Scottish unit [11]. Little is known about the factors contributing to the decision to suspend life-sustaining therapies in patients with TBI. A survey of intensivists, neurosurgeons, and neurologists participating in the care of TBI patients revealed wide variations concerning the use and the intensity of care at the ICU [12]. Cote et al. [2] and Thompson et al. [13] identified significant uncertainties regarding the determination of prognosis and the decision process to limit life-sustaining therapies among responders [12]. Cote et al. [2] and Thompson et al. [13] identified some head CT-findings and an effect of intensity of care on mortality in patients with TBI. However, estimation of patient’s will, religion and spiritual beliefs which legally have to build the base for the decision and also statistically have an influence were not included in their analysis [14-16]. Exclusion of actual or presumed will from the decision process is particularly problematic, since in some countries-such as Switzerland- respecting the actual or presumed will of the patients is mandatory.

This study aims to describe factors associated with mortality and having influenced the decision to limit life-sustaining therapies in patients with moderate and severe TBI admitted to our unit over a period of three years, and to relate the findings to existing data.

**Material and Methods**

This retrospective cohort study was performed at the surgical ICU of the University Hospital of Zurich, a level I Swiss Trauma Center, in compliance with the Declaration of Helsinki and the national legal and regulatory requirements, and was approved by the local Ethics Committee (KEK-ZH-Nr. 2016-00332, April 2016). Data acquisition followed the current Swiss regulations with no need for an explicit written informed consent.

Inclusion criteria were admission to the surgical ICU between 1 January 2012 and 30 June 2015 with diagnosis of blunt TBI (ICD Code S00-S09) by identification using the hospital’s electronic database (KISIM™, Cistec® Zurich, Switzerland), and aged 16 or older. The classification as moderate or severe was based on the initial Glasgow Coma Scale (GCS <13) before sedation and intubation. Data were collected and medical charts were reviewed from the investigators.

Exclusion criteria were discharge from the ICU and death within 48 hr to avoid the inclusion of patients with initially over-estimated severity and no need of an intensified treatment, e.g. due to alcohol- or drug-influence at the time of trauma [17], and patients who deceased in the emergency room or before a meeting with the SDM was organized. At our institution a meeting with the SDM is held within 48 hrs from injury to identify goals of care based on documented or assumed patient’s will. Treatment of TBI patients was based on an internal protocol, referring to international guidelines [18].

Baseline demographic and pre-hospital data, severity and prognostic scores, and intensity of care at the ICU were collected. Baseline demographic data included age, sex, religion, previous medical conditions (stroke, past TBI, epilepsy, diabetes mellitus, cardiovascular disease, use of anticoagulants and antiplatelet agents, psychiatric disorder and history of alcohol or drug abuse), presence of written ADs, and/or information on whether the patient discussed EOL issues with his or her next of kin before trauma. Pre-hospital data covered mechanism of injury (traffic accident, fall or other causes), hypotension (defined as systolic blood pressure <90 mmHg), hypoxemia (defined as SpO2 <90%), and pupillary reactivity during the rescue phase. Pupillary reactivity was considered pathological if one or both pupils presented no response to light. Severity scores included GCS before sedation and intubation, Injury Severity Score (ISS) [19], the Abbreviated Injury Scale of the head region (AIS-head) [20], and the Simplified Acute Physiology Score II (SAPS II) [21]. The IMPACT score, a prognostic model to predict mortality and unfavorable outcomes 6-months after TBI was calculated [22]. The IMPACT model is based on age, clinical findings (GCS motor component, pupillary reactivity), computed tomographic characteristics, secondary insults (hypoxemia, hypotension), and on laboratory values on admission (glucose, hemoglobin).

Intensity of care during the ICU stay was evaluated with the ICU-Length of Stay (LOS), the number of days on Mechanical Ventilation (MV-days), use of vasoactive drugs, amount of Red Blood Concentrates (RBCs), and use of Continuous Renal Replacement Therapy (CRRT), ICU mortality (ICU-mortality) was noted.

The Marshall classification of head injury was used based
on the first Computerized Tomography (CT) after trauma [23]. Particularly, the presence of Epidural Hematoma (EDH) and traumatic Subarachnoid Hemorrhage (tSAH) were noted. Long-term functional outcome was assessed with the Glasgow Outcome Scale (GOS) 6 months after trauma with a structured questionnaire and an interview of the patients [24].

For the analysis, patients were split in two groups based on GOS: Survivors includes patients still alive 6 months after injury (GOS ≥ 2), and non survivors includes patients who died 6 months following TBI (GOS 1). For non survivors, time of death (expressed in number of days after TBI), and place of death (ICU or normal ward, long-term care facilities, home) were collected.

The decision to limit life-sustaining therapies was classified as withholding or withdrawing treatment. Withholding treatment defines the decision not to start or increase a life-sustaining intervention (e.g. an order not to resuscitate). Withdrawing treatment refers to the active decision to stop provision of a life-sustaining intervention (e.g. vasopressors). Furthermore, timing of decision to limit the life-sustaining therapies (expressed in number of days after trauma), time of death after the decision (expressed in number of days after the decision was taken), and people involved in the decision (SDM, legal guardian) were collected.

For descriptive statistics, categorical variables were expressed as absolute numbers with percentages, normally distributed quantitative variables as mean ± Standard Deviation (SD) and non-normally distributed variables as median with Interquartile Range (IQR). The Kolmogorov-Smirnov test was used to verify normal distribution of continuous variables. Comparisons between the Survivors and the non survivors were performed with student’s t test, Mann-Whitney test, Chi-square or Fisher’s exact test, as appropriate. By statistically significant p-value, post-hoc tests were performed, taking into account the multi-comparison procedure. In order to identify risk factors associated with death, potential predictor variables were first selected by univariate analysis. Predictors with p<0.1 were entered into a multiple binary logistic regression model and mortality was the outcome variable. Goodness of fit of the regression was performed with the standard regression model [25]. The Odds-Ratios (ORs) were calculated and expressed with the corresponding 95% Confidence Intervals (95% CI). Statistical significance was set at p value <0.05 for all analyses. All analyses were performed using Stata version 12.1 (StatCorp. L.P., College Station, TX, USA).

Results

During the study period, 994 patients with TBI were admitted to the surgical ICU. Of those, 170 fulfilled the inclusion criteria of the study (Figure 1). Mean age was 48 ± 21 years and 72.3% of the patients were male. Baseline demographic data, pre-hospital data, severity and prognostic scores, and intensity of care at the ICU of the study population overall are presented in Figure 2-5.

In the Survivors group (n=125, 72% male) mean age was 44 ± 19 years, while in the non-survivors group (n=45) patients were significantly older (mean age 61 ± 22 years, p<0.0001; 73.3% male). Baseline demographic data, pre-existing medical conditions are listed in Figure 2. The two groups differed in frequency of cardio-vascular disease and use of anticoagulants/antiplatelet agents, which were significantly higher (p=0.033 and p<0.0001, respectively) in the non-survivors group. Pre-hospital data are presented in Figure 2. In particular, hypoxemia during the rescue phase occurred significantly more often in the non-survivors group.

Concerning severity, based on ISS, SAPS II and IMPACT, patients...
in the non-survivors group had higher scores and consequently higher likelihood of unfavorable outcome compared to patients in the survivors group, as shown in Figure 4.

Intensity of care during the ICU stay did not differ between groups, as shown in Figure 5.

The majority of patients of the non-survivors group (n=43; 95.5%) died after a decision to limit life-sustaining therapies. In the majority of the cases life-sustaining therapies were withdrawn (overall, n=38, 22.3%, Survivors vs. non-survivors p<0.0001). Patients died 14 ± 16 days after trauma and 2 ± 2.7 days after EOL decision. Overall, only few patients in the study population had written ADs (n=10, 5.9%) or discussed EOL issues at least once with the SDM before TBI (n=22, 13%). In the Non-survivors group presence of written ADs and EOL issues discussion with the SDM prior to TBI were significantly more frequent than in the Survivors group (p=0.023 and p<0.0001, respectively), as shown in Figure 2. A next of kin was the SDM in the majority of the cases (97.6%), in both groups.

In the univariate logistic regression analysis mortality was associated with age (OR 1.04, CI 1.02-1.06, p<0.001), Protestant religion (OR 4.18, CI 1.79-9.74, p=0.001), previous cardio-vascular disease (OR 2.14, CI 1.05-4.34, p=0.035), use of anticoagulants/antiplatelet agents (OR 5.19, CI 2.21-12.18, p<0.001), hypoxemia during the rescue phase (OR 2.49, CI 1.19-5.20, p=0.015), a higher category in the Marshall classification (OR 1.5, CI 1.20-1.88, p<0.0001), presence of tSAH on the first head-CT scan (OR 2.85, CI 1.17-6.91, p=0.021), code 4 of the AIS-Head (5.34, CI 1.43-19.91, p=0.013), ISS (OR 1.03, CI 1.00-1.06, p=0.033), and SAPS II (OR 1.04, CI 1.02-1.07, p<0.001).
In the multiple logistic regression model, age, Protestant religion, hypoxemia during the rescue phase, a higher category in the Marshall classification and a higher ISS were independently associated with mortality, as shown in Figure 6.

Discussion

The present study was conducted to evaluate factors associated with mortality in patients with moderate to severe TBI. Few studies have focused on this patient group [2,7,8,13,26], which are usually younger and have less comorbidities than the general critically ill population.

We conducted a multiple binary logistic regression analysis to identify factors associated with mortality. Because mortality occurred in the study population mostly due to non-sudden deaths following EOL decisions, we can speculate that its predictors were also crucial aspects for the decision to limit life-sustaining therapies.

As expected, also in this study TBI primarily affected young and previous healthy individuals. Furthermore, probably due to the sudden nature of TBI in younger patients, the existence of written ADs was extremely low (ca. 6% of the study population) and similar to a previous report [7]. This is notable, since in Switzerland- after the introduction of the new law for the protection of adults (2013) [27] - all inhabitants are encouraged to provide written ADs and to designate a SDM. Older patients with chronic or terminal illnesses and their physicians are more likely to actively fulfill this task, and also physicians in regions where Advance care planning is not part of the health care system actively bring this up with these patients [28,29]. ADs contain personal therapeutic goals and, particular for Switzerland, consent or dissent to specific medical measures. Furthermore, ADs commonly name one or more SDMs who, in case of incapability of decision-making by the patient- as in case of severe TBI, are appointed to decide on behalf of the patient based on documented or presumed patient’s will.

In the study population and comparable to previous findings nearly all deaths (95.5%) occurred after an EOL decision [7,26] and, similar to data referring to the critically ill population in the general ICU [1,3], withdrawal of life-sustaining therapies was the most frequent kind of EOL decision. At our unit, these decisions occur only in the neurology/neurosurgery ICU, the severity of the acute condition, by the probability of mortality, but also by the predicted morbidity attributable to the neurological insult. Prognostication which is needed to guide physicians and SDM to approve or refuse medical treatments based on documented or assumed patient’s preferences has, however, several limitations. Most prognostic models, in fact, are limited to their use for the first hours or days after TBI only, do not consider the evolution of the cerebral damage several days after trauma, and were not developed with the specific aim of influencing EOL decisions [8]. In addition, physicians’ perceptions of neurologic prognosis have an important impact on the decision-making process, even though it is known that this perception is highly variable among physicians and based on personal experience, competence, and spiritual belief [12].

### Table 1: Univariable and multivariable logistic regression analysis

<table>
<thead>
<tr>
<th>variable</th>
<th>Univariable logistic regression</th>
<th>Multivariable logistic regression</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>OR</td>
<td>95%-CI</td>
</tr>
<tr>
<td>Age (per year increase)</td>
<td>1.04</td>
<td>1.02-1.06</td>
</tr>
<tr>
<td>Protestant religion (other as reference)</td>
<td>4.18</td>
<td>1.79-9.74</td>
</tr>
<tr>
<td>Hypoxia (no as reference)</td>
<td>2.48</td>
<td>1.19-5.19</td>
</tr>
<tr>
<td>Marshall classification (per category increase)</td>
<td>1.5</td>
<td>1.2-1.88</td>
</tr>
<tr>
<td>ISS (per unit increase)</td>
<td>1.03</td>
<td>1.00-1.06</td>
</tr>
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How physician’s and patient’s religions influence the use of EOL therapies has been rarely investigated [26]. To our knowledge, this is the first study to evaluate the possible role of patient’s religion in a population with TBI. The Ethicus study revealed that in the European general ICUs physicians who are Protestant, Catholic, or with no religion more frequently use withdrawal of life-sustaining treatments than physicians who are Greek Orthodox, Jewish, or Moslem. Our results reveal that mortality and indirectly limitation of life-sustaining therapies were also associated with the Protestant religion of the patient. Traditionally, Switzerland has been a country with strong secularism with no state religion, however with an important Protestant and Catholic tradition, which is about evenly balanced within the Swiss population. Even if the patients in the majority of the cases did not participate in the EOL discussion due to the neurological impairment, it seems that their spiritual beliefs were crucial factors influencing the EOL decision-making.

Similar to the results of Diringer et al. [5] in the setting of a neurology/neurosurgery ICU, the severity of the acute condition, expressed by a higher category in the Marshall classification of head injury and a higher ISS, influences mortality and the decision to limit life-sustaining therapies. Thus, this result shows that decisions to limit life-sustaining therapies are strongly influenced not only by the probability of mortality, but also by the predicted morbidity attributable to the neurological insult. Prognostication which is needed to guide physicians and SDM to approve or refuse medical treatments based on documented or assumed patient’s preferences has, however, several limitations. Most prognostic models, in fact, are limited to their use for the first hours or days after TBI only, do not consider the evolution of the cerebral damage several days after trauma, and were not developed with the specific aim of influencing EOL decisions [8]. In addition, physicians’ perceptions of neurologic prognosis have an important impact on the decision-making process, even though it is known that this perception is highly variable among physicians and based on personal experience, competence, and spiritual belief [12].
Our study has some limitations. First, it investigated patients admitted to a single ICU in a single institution; consequently it is possible that other institutions or different management styles would yield different results. Furthermore, the outcome variable of the study was mortality. Because almost the totality of deaths followed a redirection of care to limitation of life-sustaining therapies, we postulate that factors associated with death also influenced the EOL-decisions. Additionally, due to the retrospective design of the study, the observed data were limited to the information detailed in the patients’ chart and no causal inferences are possible. Finally, because of limitations of our database, we did not investigate the influence of other relevant factors such as, for example, physician’s attitudes about futile care that has been shown to influence decisions to limit life-sustaining therapies [3].

Processes leading to a limitation of life-sustaining therapies are complex, variable, and multifactorial, and represent an important topic and issue in particular in neurocritical care. Even if our analysis refers to a regional experience, our findings might contribute to better understand the delicate process of decision-making at the end of life.

In conclusion, the majority of deaths of patients with moderate to severe TBI at our institution follow a decision to limit life-sustaining therapies. Written ADs help SDMs and physicians in the acute and sudden setting of TBI to respect the patient’s will. Their writing should be encouraged in an intensive regionally implemented advance care planning concept even among young people to evoke and support patient’s reflections on their preferences for treatment goals in severe TBI also regarding a permanent vegetative or minimally conscious patient’s reflections on their preferences for treatment goals in severe TBI also regarding a permanent vegetative or minimally conscious state as a potential outcome [34]. Age, spiritual belief of patients, hypoxemia in the pre-hospital setting, outcome prognostication based on the Marshall classification and the ISS influenced death and indirectly the decision to limit life-sustaining therapies. Due to the conceptual limitation of current prognostication models, improved and multifactorial approaches to predict neurologic outcome are needed.

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